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P.O. 511/21 (10 00)

Approved for use through 12/31/2002 OMN 0651 0031  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 8

Applying Number 09/042,032

Filing Date August 28, 2001

Applicant Inventor YAMASHITA, THOMAS, T.

Applicant Address 3643

Examiner Name Gollner, Jeffrey L.

Applying Document Number YAMA-001CON9

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form  
In Duplicate  
☐ Fee Attached
- ☒ Amendment / Reply  
☒ After Final  
☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Documents
- ☐ Response to Missing Parts/  
Incomplete Application
- ☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers  
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication  
to Group
- ☐ Appeal Communication in Board  
of Appeals and Interferences
- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please  
identify below):

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual Name SUSAN C. TALL, Reg. No. 52,272

Signature

Date May 3, 2004

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile filed under 37 C.F.R. §§ 1.6(d) and 1.6(a)(1)(h) addressed to: (700) 872-0306 on this date: May 3, 2004.

Typed or printed name Martha Cisneros

Signature

Date May 3, 2004

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P1035017 (10-03)  
Approved for use through 07/31/2006. OMB 0001-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**210.00**

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

50-0815

Bozicevic, Field &amp; Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
1001	770	2001	385	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	265	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	180	2005	60	Provisional filing fee

Fee Paid

SUBTOTAL (1)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	x	=
Indep. Claims	-3** =	x	=
Multiple Dependent			=

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) | Code (\$) | Code (\$) |

1202 18 2202 0

1201 86 2201 43

1203 290 2703 145

1204 86 2204 43

1205 18 2205 9

Fee Description

Claims in excess of 20  
Independent claims in excess of 3  
Multiple dependent claim, if not paid  
\*\* Reissue independent claims over original patent  
\*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

\*\*or number previously paid, if greater; For Reissues, see above.

Complete if Known

Application Number **09/942,032**  
Filing Date **August 28, 2001**  
First Named Inventor **YAMASHITA, THOMAS T.**  
Inventor Name **GELLNER, JEFFREY L.**  
Serial Number **3843**  
Priority Document No. **YAMA-001CON9**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) | Code (\$) | Code (\$) |

1001 1 0 2001 65

1002 5 0 2002 25

1003 1 0 1003 130

1012 2 20 1012 2,570

1001 8 0 2001 120

1001 1 0 1001 1,040

1201 1 0 2201 55

1202 4 0 2202 210

1203 5 0 2203 475

1204 1 110 2204 740

1205 2 310 2205 1,005

1401 3 0 2401 165

1402 3 0 2402 165

1403 2 0 2403 145

1404 1 510 2404 1,510

1402 1 0 2402 95

1403 1 40 2403 665

1501 1,350 2501 665

1502 4 0 2502 240

1503 5 0 2503 320

1100 1 0 1400 130

1807 5 0 1907 50

1808 1 0 1510 180

1801 4 0 1721 40

1403 7 0 2303 385

1810 7 0 2510 385

1701 7 0 2601 385

1702 6 0 1802 300

Fee Description

Surcharge - late filing fee or post

Surcharge - late provisional filing fee or cover sheet

Non-English specification

For filing a request for expedited examination

Requesting publication of SR prior to Examination action

Requesting publication of 1/3 of an Examiner action

Extension for reply within first month

Extension for reply within second month

Extension for reply within third month

Extension for reply within fourth month

Extension for reply within fifth month

Notice of Appeal

Filing a brief in support of an appeal

Request for oral hearing

Petition to institute a public use proceeding

Petition to revive - unintentional

Petition to revive - unintentional

Utility issue fee (or reissue)

Design issue fee

Plant issue fee

Petitions to the Commissioner

Processing fee under 37 CFR 1.17(a)

Submission of Information Disclosure Sheet

Recording each patent application per property (lines number of pages)

Filing a submission after final rejection (37 CFR § 1.129(a))

For each additional invention to be examined (37 CFR § 1.179(a))

Request for Continued Examination (RCE)

Request for expedited examination of a design application

Fee Paid

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210.00

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) Susan C. Tall

Signature

Reportable No. (Attorney's No.)

62,272

Complete (if applicable)

Telephone No.

(840) 633 2717

Date

04/03/2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or maintain a benefit by the patent which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is submitted to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1601, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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